



## Ventilation modes in intensive care

Karin Deden

**Important note**

This brochure does not replace the instructions for use. Prior to using a ventilator the corresponding instructions for use must always be read and understood.

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## Preface

### TOWARDS A CLASSIFICATION FOR VENTILATION

In 1977, Steven McPherson wrote the first popular book on ventilation equipment in the USA. Ventilation was discussed on 65 percent of the pages, but only 3 ventilation modes were explained in detail: “controlled”, “assisted” and “spontaneous breathing”. Some modes were not mentioned in the specification tables for ventilators in the book. Instead, the book focused on specific drive mechanisms and configurations as well as on how configurations could be combined into identifiable operating modes. The description of a ventilator in the book was, for example, akin to an “... electrically driven rotating piston, double circuit, timed, time and volume limited controller ...”. It must be taken into account that the concept of “IMV” (Intermittent Mandatory Ventilation) had only been invented four years earlier.

The seventh edition of McPherson’s ventilator book was published in 2004. Interestingly, about two thirds of the book are still dedicated to the topic of ventilation. In this edition, only 22 ventilation modes are described on 19 pages. However, on the subsequent pages where specific ventilators are described, 93 different ventilation modes are mentioned. These are, however, not 93 different modes. In many instances, different names are used for identical modes (e.g. the pressure control ventilation plus adaptive pressure ventilation in the Hamilton Galileo corresponds to the pressure regulated volume control in the Maquet Servo 300), and in some cases, the same name is used for different modes (assist/control in the Puritan Bennett 840 is a kind of volume-controlled ventilation, whilst assist/control in the Bear Cub ventilator for infants is a kind of pressure-controlled ventilation).

As in many other fields, the technical complexity has increased significantly in ventilation. Today modern ventilators might feature more than two dozen modes; some even utilize computer-assisted artificial intelligence. Within a single human generation, ventilators have spanned approximately 5 generations

in development. What has not been developed is a standardized system sufficiently describing this technical complexity. This causes four main problems: (1) published studies about ventilation are difficult to compare making it hard to compile and describe factual statements; (2) there is little consistency between medical training programs with regard to the nomenclature and descriptions of how ventilators work; (3) clinical staff working in clinics where ventilators of different manufacturers are used (which is quite common) do not have the time or training resources for adequate training and practice in using all modes in all ventilators, making optimum patient care difficult and (4) manufacturers cannot discuss the precise operation of their products easily with future customers, limiting the effectiveness of sales and training and in turn reinforcing the other problems.

To date, neither manufacturers nor professional associations have found a common consensus about a classification for ventilation. However, certain efforts have already been made: The committee TC 121 (Anesthetic and Respiratory Equipment) of the International Organization for Standardization has a subcommittee (SC3 Lung Ventilators and Related Equipment) working on a standardized terminology. „Integrating the Healthcare Enterprise“ (IHE) is an initiative of experts and health care companies to improve the exchange of information between computer systems in the health care sector. The IHE domain „Patient Care Device“ works on the basis of an RTM profile (Rosetta Terminology Mapping) connecting provider-specific terminology with standardized terminology (based on ISO/IEEE 11073-10101), predominantly for emergency care equipment such as ventilators. Its aim is the uniform representation of key equipment data, especially if these are communicated to a gateway for health care applications. The increasing use of electronic patient files in hospitals worldwide makes the efforts of these organizations indispensable. Finding a consensus between so many different interested parties is a long and difficult process. With the compilation of a common nomenclature for all patient groups in intensive care, anesthesia and during monitoring, Dräger makes an important contribution to these efforts. Dräger recognizes the necessity of practical clarity when

describing modes. As in other companies, the advanced product designs of Dräger: has its advantages and disadvantages They provide the latest life-saving technology, but they are also confusingly complex, hampering the expansion of this technology. The purpose of this booklet is to describe the available modes for the Dräger ventilators in a systematic and informative manner. Although this might not serve as a universal classification for the modes, we hope that it will improve the understanding of the many available ventilation modes for Dräger devices and therefore ultimately improve patient care.

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## Introduction

If you follow a patient from an initial event such as an accident location all THE WAY UNTIL HE/SHE IS RELEASED FROM HOSPITAL, YOU WILL NOTICE THAT MECHANICAL ventilation is necessary and used in many areas of patient care. Already at the accident location and during transportation, ventilation is provided using an emergency ventilator. During the operation in the hospital an anesthesia machine provides ventilation. Intensive care ventilators are available during the critical stay in intensive care. Even during the subsequent treatment on intermediate care wards, some patients require mechanical breathing support. Mechanical ventilation is required in all areas of the hospital. For neonatal patients, the mechanical ventilation starts soon after birth using a ventilator or manual ventilation bag, usually in the labor room or operating room. After a brief transport to the neonatal intensive care ward, these small patients are ventilated mechanically until their condition is stable. In the various departments with their corresponding patient groups, different ventilation modes were developed on the basis of the individual needs and requirements. Different names for principally identical modes cause confusion and place heavy demands on the user. Within international literature, too, different names are used for the same ventilation mode. For example, the literature often mentions CMV/AC whereas for the ventilation of adults with Dräger equipment the term IPPV/IPPVassist is used. Dräger recognizes how difficult the current situation is for the user and therefore developed a uniform nomenclature for ventilation modes from emergency provision through anesthesia and intensive care to monitoring/IT.

This brochure intends to facilitate the move from the old to the new nomenclature. For this reason, the properties and control principles of the individual ventilation modes are briefly outlined. The focus of the mode descriptions is the intensive care ventilation for adults, pediatric patients and neonatal patients. For a precise comparison of the designations, the brochure concludes with a comparison of the ventilation modes in the previous and the new

nomenclature. The comparison of the designations is given for the intensive care ventilation of adults and neonatal patients as well as for anesthesia.

## Mechanical ventilation

When operating a ventilator, patients can be ventilated in many different ways. Differentiation is made between mandatory and spontaneous breathing methods. When utilizing mandatory breathing methods the equipment fully or partially controls the breathing. During spontaneous breathing methods the patient is either fully capable of breathing independently at the PEEP level or receive support from the equipment.

The ventilation modes of Dräger equipment can be divided into three ventilation groups: volume-controlled modes, pressure-controlled modes and spontaneous/assisted modes.

### **Mandatory ventilation methods**

Volume-controlled modes

Pressure-controlled modes

### **Spontaneous breathing method**

Spontaneous/assisted modes

To indicate to which group a ventilation mode belongs, the modes are preceded by prefixes.

- VC- for volume-controlled
- PC- for pressure-controlled
- SPN- for spontaneous

The prefixes are followed by the name of the ventilation mode which explains the ventilation mode and its operation in more detail. This results in the following ventilation modes described in more detail in this brochure:

<b>Volume-controlled</b>	<b>Pressure-controlled</b>	<b>Spontaneous/assisted</b>
VC-CMV	PC-CMV	SPN-CPAP/PS
VC-AC	PC-AC	SPN-CPAP/VS
VC-SIMV	PC-SIMV	SPN-PPS
VC-MMV	PC-SIMV+	SPN-CPAP
	PC-APRV	
	PC-PSV	
	PC-MMV	

For some ventilation modes, there are extended configurations, such as AutoFlow® (AF), Volume Guarantee (VG) or PS (Pressure Support). These extended configurations are explained in more detail in this brochure.

In order to understand the particularities of the modes, it is important to know the control and actuating variables.

### **FORMS OF MANDATORY BREATH**

The control variable, primary affected or controlled by the equipment, is identified by the prefix VC or PC. The control variables are discussed in more detail in the sections on volume- and pressure-controlled ventilation.

When controlling the mandatory ventilation, a difference is made between the control of the start of inspiration and the control of the start of expiration.

### **CONTROL VARIABLE - START OF INSPIRATION**

The inspiration can be initiated by the patient or by the equipment. This is called patient-triggered or mechanically triggered mandatory breath.

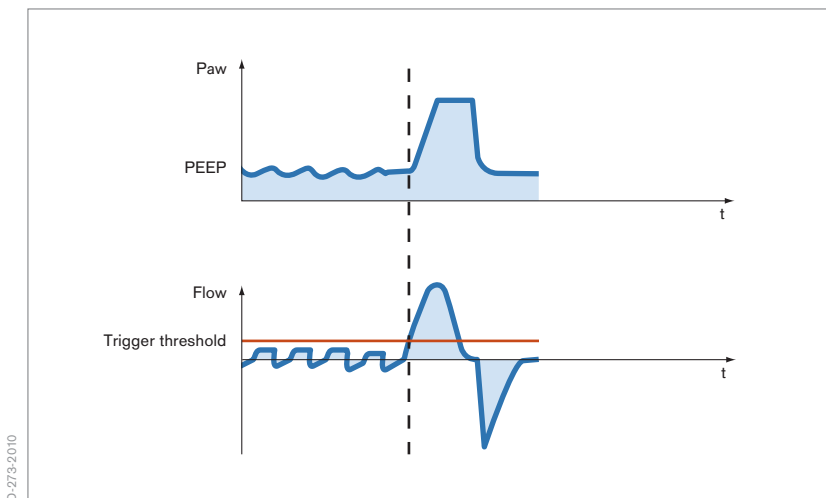


Figure 1: Trigger threshold

### **PATIENT-TRIGGERED**

In patient-triggered mandatory breath, the patient breathes independently. The equipment detects this inspiration attempt and triggers the inspiration. In many ventilators, a flow trigger is used to detect inspiration. The sensitivity of the trigger, the so-called trigger threshold, after which a mandatory breath is applied, can be configured according to the patient (Figure 1). Trigger windows have been set up for many ventilation modes. Inspiration attempts of the patient triggering the mandatory breaths are detected only within this range. This ensures that the set ventilation frequency of the mandatory breaths remains constant.

### **MECHANICALLY TRIGGERED**

The mechanically triggered mandatory breaths are triggered without patient activity. They are always timed. This means that the patient has no influence on the time of inspiration. The start of inspiration depends exclusively on the

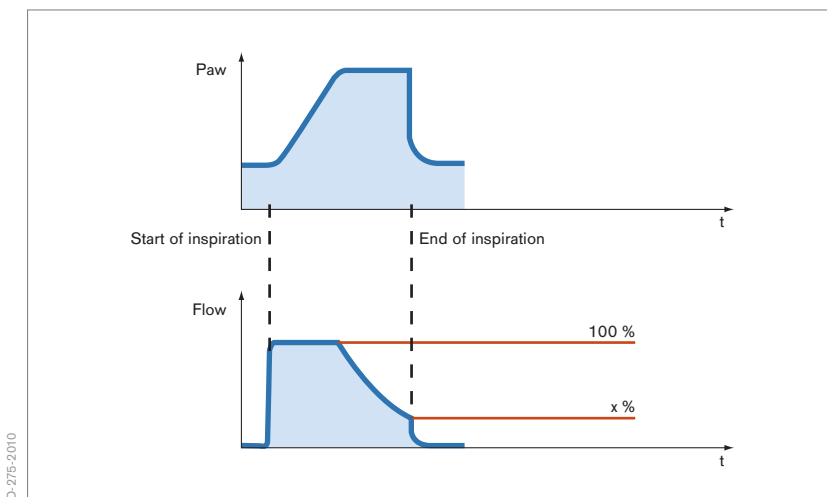


Figure 2: Termination criteria (peak inspiration flow)

configured time parameters, e.g. the frequency (RR), the inspiration/expiration cycle (I:E ratio) or the inspiratory time ( $T_i$ ).

### CONTROL VARIABLE - START OF EXPIRATION

Expiration can be triggered either flow or time cycled.

#### FLOW-CYCLED

With flow cycling, the start of expiration depends on the breathing and lung mechanics of the patient. The inspiration phase is concluded as soon as the inspiratory flow has reached a defined share of the maximum inspiratory flow. This means that the patient determines the beginning of the expiratory phase (Figure 2).

## TIME-CYCLED

If the start of expiration is time-cycled, then only the inspiratory time ( $T_i$ ) determines the starting point of expiration. The patient has no, or in some modes only a minor, influence on the duration of the inspiration phase.

### Control principles

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Start of inspiration	Start of expiration
Patient-triggered	Flow-cycled
Machine triggered	Time-cycled

## WHICH VENTILATION MODE FOR WHICH TREATMENT PHASE?

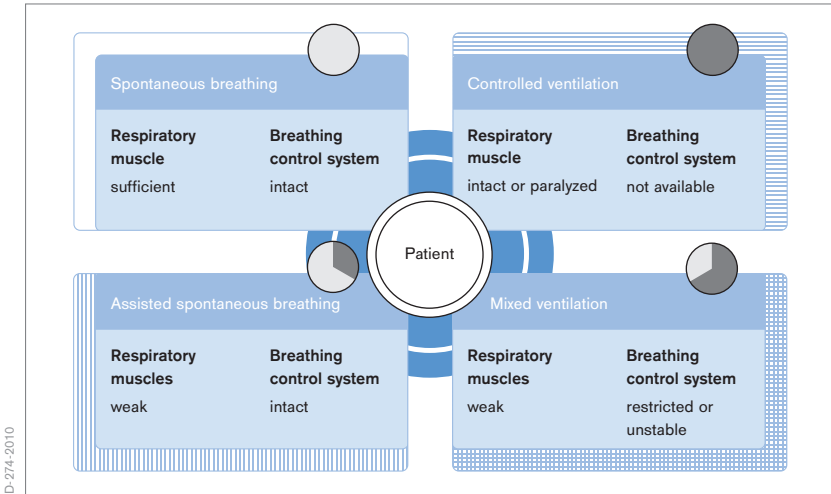
During the ventilation treatment, a patient goes through different phases marked by different support requirements (Figure 3).

At the start, the patient might be fully sedated. His breathing control is not operating and he depends on controlled ventilation.

If the sedation is subsequently reduced, breathing control may be active to a certain extent, albeit unstable. However, the breathing muscles may be too weak to cope with the breathing task independently. A mixed ventilation is required that permits spontaneous breathing but shares the breathing load between the patient and the equipment.

Once the patient has achieved independent and stable breathing, but remains weak, he requires gentle support in breathing. The patient's breathing can be supported using spontaneous/assisted ventilation.

If the patient has recovered sufficiently to regain his full breathing ability and his breathing muscles have regained their strength, he can breathe spontaneously by himself.



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Figure 3: Forms of breathing / ventilation

The symbols with the circles filled in at different levels represent the respective therapy status of the patient. These symbols are provided for each mode description and assist in determining for which therapy stage the described mode can be used.

**ALARM LIMITS:**

During the treatment of a patient, the overall status can change several times; this also applies to the pulmonary situation of the patient. It can therefore become necessary to adapt therapeutic objectives or treatment strategies.

Indicative alarm limits therefore protect the patient and help finding the correct time for adapting the ventilation settings.

With every patient admission and every change in ventilation mode, the alarm limits should be checked and adjusted to the patient and the ventilation mode.

Changes in the lung properties and thus the Resistance (R) and Compliance (C) have different effects in the different ventilation modes.

For volume-controlled ventilation modes, the pressures are resulting variables. It is therefore important to adjust the alarm limit  $P_{\text{high}}$  appropriately.

In the case of pressure-controlled ventilation modes, the applied tidal volume changes with a change of Resistance and Compliance. Here, particular attention must be paid to the alarm limits for  $VT_{\text{high}}$ ,  $VT_{\text{low}}$ ,  $MV_{\text{high}}$ ,  $MV_{\text{low}}$  and  $RR_{\text{high}}$  to ensure patient protection.

## Volume-controlled ventilation

During volume-controlled ventilation, the set tidal volume is supplied by the ventilator at a constant flow. The inspiratory pressure is the resulting variable and changes dependent on the changing lung mechanics.

The value controlled and kept at the target value by the equipment is the tidal volume (VT). The tidal volume and the number of mandatory breaths per minute (RR) can be adjusted. This results in the minute volume (MV). The velocity at which the breathing volume (VT) is applied is adjusted by the flow, the constant inspiratory flow.

A breath can be divided into an inspiratory and expiratory phase. The duration of the inspiratory phase is defined by the inspiration time (Ti). If the inspiratory flow is so high that the set breathing volume is reached before the set inspiratory time (Ti) has passed, there will be a pause in inspiration.

Because the pressures in the lung can vary in volume-controlled ventilation with a change in lung properties and thus the Resistance (R) and Compliance (C), it is important to set the alarm limit P<sub>high</sub> based on the patient. To ensure free breathing ability during the complete breathing cycle, and thus increase patient comfort, AutoFlow can be enabled during volume-controlled ventilation.

Volume-controlled ventilation modes are not available for the neonatal patient category.



Figure 4: Possible ventilation settings for volume-controlled ventilation modes for adult patient category

!	💡
<p>Set the alarm limit <math>P_{high}</math> patient-specific &gt; Not available in the neonatal patient category</p>	<p>Due to the pressure limitation it is possible that the set VT is not always achieved</p>
<p><b>Volume-controlled modes</b></p> <hr/> <p>VC-CMV</p> <hr/> <p>VC-AC</p> <hr/> <p>VC-SIMV</p> <hr/> <p>VC-MMV</p> <hr/>	<p>Minute volume <math>MV = VT * RR</math></p> <p>AutoFlow can be enabled for all volume-controlled modes</p>

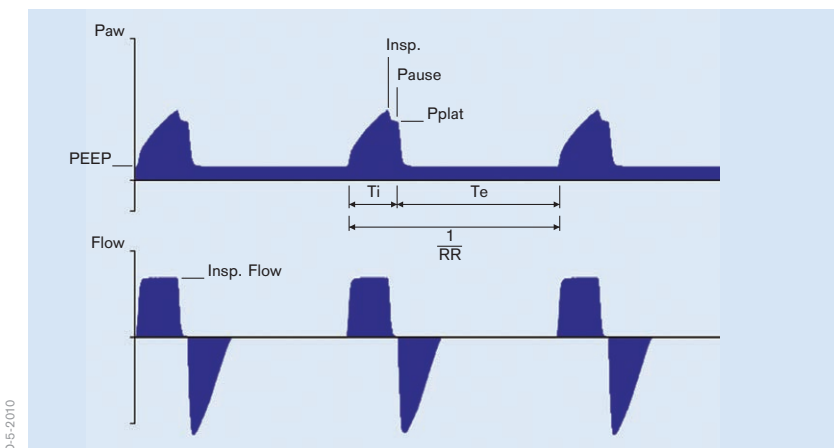


Figure 5: Volume-controlled ventilation

**AUTOFLOW**

– extended ventilation configuration for all volume-controlled ventilation modes (Figure 6)

AutoFlow ensures that the set tidal volume (VT) is applied with the necessary minimum pressure for all mandatory breaths.

If the Resistance (R) or Compliance (C) changes, the pressure adapts gradually in order to administer the set tidal volume (VT). This means that both the pressure and the flow are adjusted automatically.

During the whole breathing cycle, both during inspiration and expiration, the patient can breathe spontaneously.

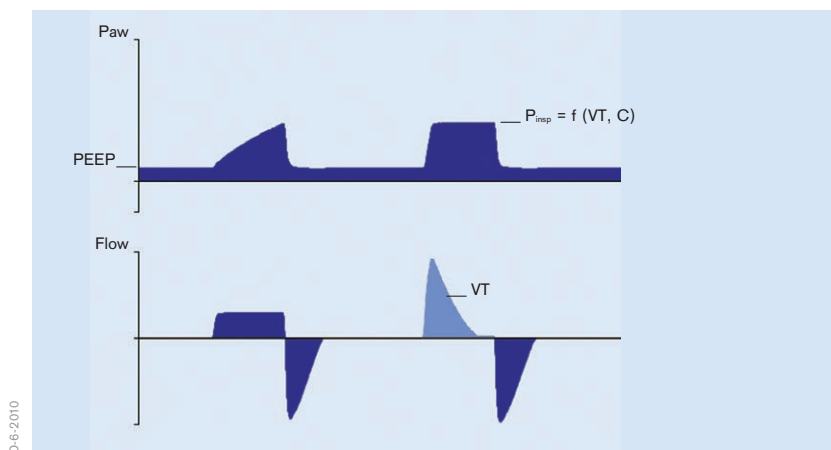


Decelerating flow curve

Pressure peaks are prevented

Free breathing ability during the breathing cycle

Guaranteed tidal volume



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Figure 6: AutoFlow

 **VC-CMV****(VOLUME CONTROL - CONTINUOUS MANDATORY VENTILATION)**

- volume-controlled
- time cycled
- machine-triggered
- constant inspiratory flow (Figure 8)

In this volume-controlled ventilation mode, the patient receives the set tidal volume (VT) with every mandatory breath. The applied breathing volume is independent of changes in the lung mechanics.

The number of mandatory breath is defined by the frequency (RR). This means that the minute volume (MV) remains constant over time.

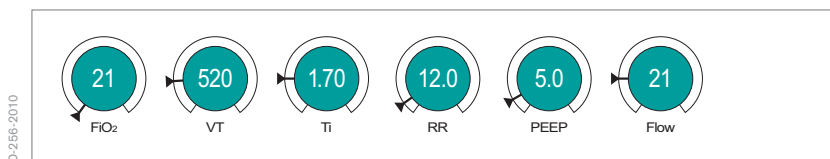


Figure 7: Possible ventilation settings

<p>!</p> <p>Set the alarm limit <math>P_{high}</math> patient-specific &gt;</p>	<p>💡</p> <p>AutoFlow can be enabled</p>
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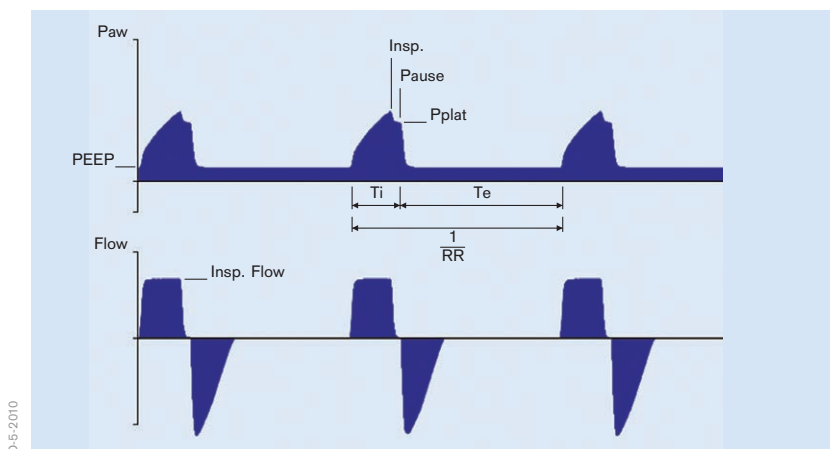


Figure 8: VC-CMV

**VC-AC****(VOLUME CONTROL - ASSIST CONTROL)**

- volume-controlled
- timed cycled
- machine- or patient-triggered
- fixed inspiratory flow
- backup frequency (Figure 10)

In the ventilation mode VC-AC, the patient always receives at least the set tidal volume (VT).

In VC-AC, every detected inspiration effort of the patient at PEEP level triggers an additional mandatory breath. The patient thus determines the number of additional mandatory breaths. To give the patient sufficient time for expiration, it is not possible to trigger another mandatory breath immediately after a completed breath.

If after the completion of the expiratory time no mandatory breath has been triggered, a mandatory breath is automatically applied (backup frequency). The control knob for respiratory rate (RR) therefore defines the minimum ventilation frequency.

Because the number of mandatory breaths depends both on the patient and the set frequency (RR), the minute volume (MV) can vary.

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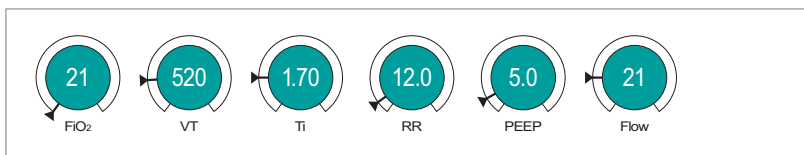


Figure 9: Possible ventilation settings

!	💡
<p>Set the alarm limit P<sub>high</sub> patient-specific &gt;</p>	<p>AutoFlow can be enabled The trigger sensitivity can be set</p>

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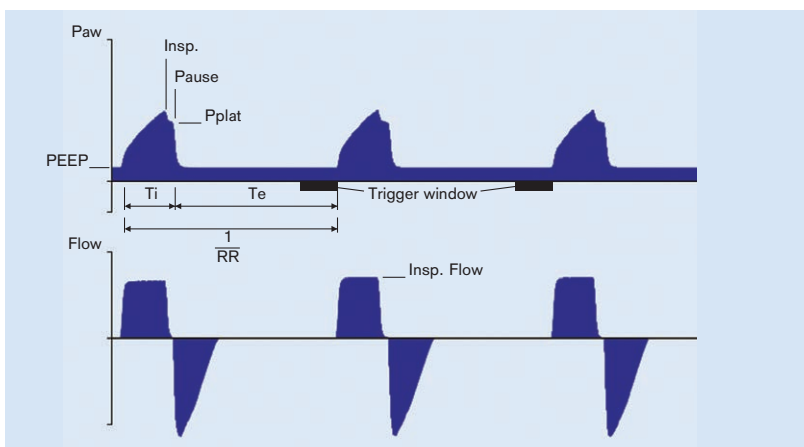


Figure 10: VC-AC



### **VC-SIMV (VOLUME CONTROL - SYNCHRONIZED INTERMITTENT MANDATORY VENTILATION)**

- volume-controlled
- timed cycled
- machine- or patient-triggered
- fixed inspiratory flow
- permitted spontaneous breathing during the expiration phase on PEEP level (Figure 12)

In VC-SIMV, the patient is supplied with the set tidal volume  $V_T$  during the mandatory breaths. The mandatory breaths are synchronized with the patient's own breathing attempts. To prevent a mandatory breaths from being applied during spontaneous expiration, a patient-triggered mandatory breath can only be triggered within a trigger window. If the expiration phase and with it the spontaneous breathing time is shortened on account of synchronization, the next expiration phase will be extended. This adaptation prevents a change in the number of mandatory breaths.

If no independent breathing attempt is detected during the trigger window, the machine-triggered mandatory breaths are applied. Thus the minute volume  $MV$  remains constant over time.

If the breathing attempts of the patient are insufficient to trigger the mandatory breath, the machine-triggered mandatory breaths are applied. The patient can breathe spontaneously at PEEP level during the expiration phase. During spontaneous breathing at PEEP level, the patient can be pressure-supported using PS.

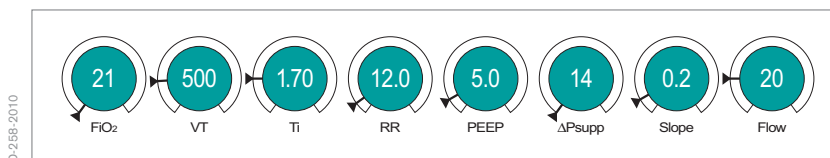


Figure 11: Possible ventilation settings

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<p>Set the alarm limit P<sub>high</sub> patient-specific &gt;</p>	<p>AutoFlow can be enabled The trigger sensitivity can be set</p>

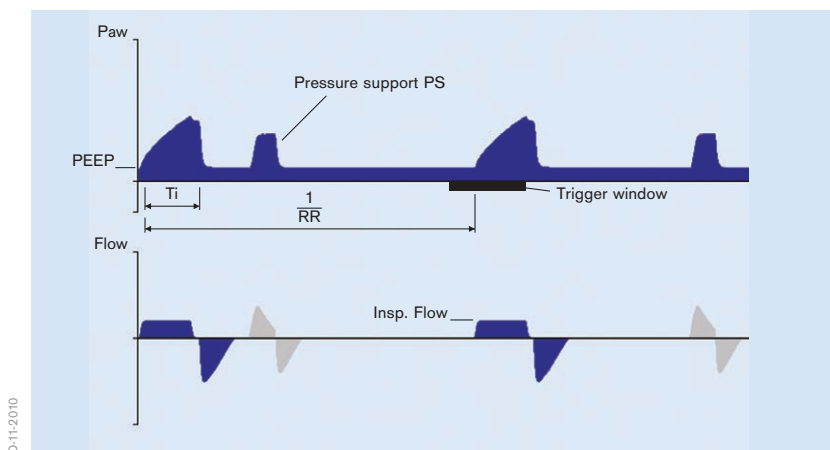


Figure 12: VC-SIMV

**VC-MMV****(VOLUME CONTROL - MANDATORY MINUTE VOLUME)**

- volume-controlled
- time cycled
- machine- or patient-triggered
- safeguarding the mandatory minute volume with permitted spontaneous breathing on PEEP level (Figure 14)

VC-MMV guarantees that the patient always receives at least the set minute volume MV ( $MV=VT*RR$ ).

The applied time-cycled, machine-triggered mandatory breaths are synchronized with the breathing effort of the patient.

The patient can always breathe spontaneously at PEEP level. If the spontaneous breathing of the patient is insufficient to achieve the set (MV), machine-triggered time cycled mandatory breaths are applied. These mandatory breaths are synchronized with the patient's own breathing attempts.

The set breathing frequency (RR) is therefore the maximum number of mandatory breaths.

During spontaneous breathing at PEEP level, the patient can be pressure-supported using PS.

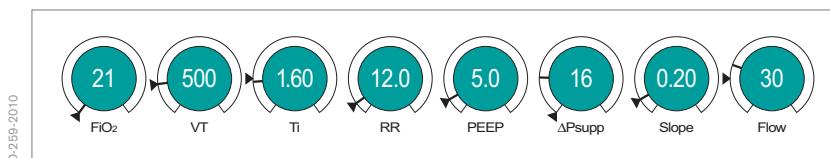


Figure 13: Possible ventilation settings

!	💡
Set the alarm limit P <sub>high</sub> patient-specific >	AutoFlow can be enabled
Set the alarm limit RR <sub>high</sub> patient-specific >	The trigger sensitivity can be set
Set the alarm limit V <sub>low</sub> patient-specific <	

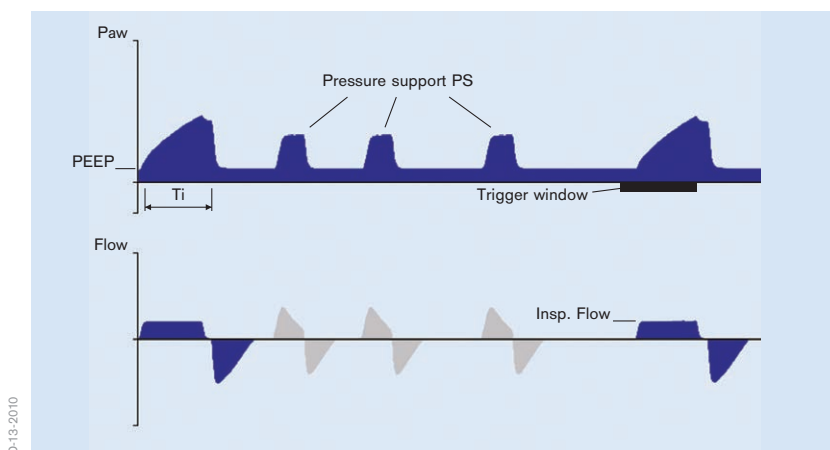


Figure 14: VC-MMV

## Pressure-controlled ventilation

During pressure-controlled ventilation, two pressure levels are kept constant: the lower pressure level PEEP and the upper pressure level  $P_{\text{insp}}$ . The volume and the decelerating flow are the resulting variables and can vary dependent on changes in the lung mechanics (Figure 16).

The value controlled and kept at target value by the equipment is the pressures  $P_{\text{insp}}$ . The pressures PEEP,  $P_{\text{insp}}$  and the number of mandatory breaths per minute (RR) can be adjusted. The difference between the two pressure levels PEEP and  $P_{\text{insp}}$ , the breathing effort of the patient, and the lung mechanics determine the breathing volume (VT) supplied. The minute volume (MV) can vary. With the slope adjustment, the pressure increase can be set to the upper pressure level depending on the patient. During neonatal ventilation the flow adjustment is frequently used to determine this pressure increase. Both adjustments define the duration of the pressure increase from the lower to the higher pressure level.

A breath can be divided into an inspiratory and expiratory phase. The duration of the inspiratory phase is defined by the inspiration time ( $T_i$ ). During pressure-controlled ventilation, the upper pressure level  $P_{\text{insp}}$  is maintained for the duration  $T_i$ . The time for the next mandatory breath results from the number of mandatory breaths per minute (RR) and the inspiratory time ( $T_i$ ). This time control is not used in PC-PSV.

If the lung mechanics of the patient and with it the Resistance (R) and Compliance (C) vary during the ventilation treatment, this only influences the applied tidal volume. The pressures remain constant. The pressures are also maintained in case of leakage.

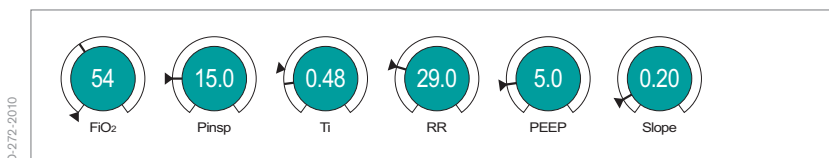


Figure 15: Possible ventilation settings for pressure-controlled ventilation modes for adult patient category

!	💡
Set alarm limit $V_{T_{high}}$ patient-specific >	AutoFlow can be enabled
Set the alarm limit $V_{low}$ patient-specific <	The trigger sensitivity can be set
Set the alarm limit $RR_{high}$ patient-specific >	
Set alarm limit $MV_{high}$ patient-specific >	<b>Pressure controlled modes</b>
Set alarm limit $MV_{low}$ patient-specific <	PC-CMV
	PC-SIMV+
	PC-AC
	PC-APRV
	PC-SIMV
	PC-PSV

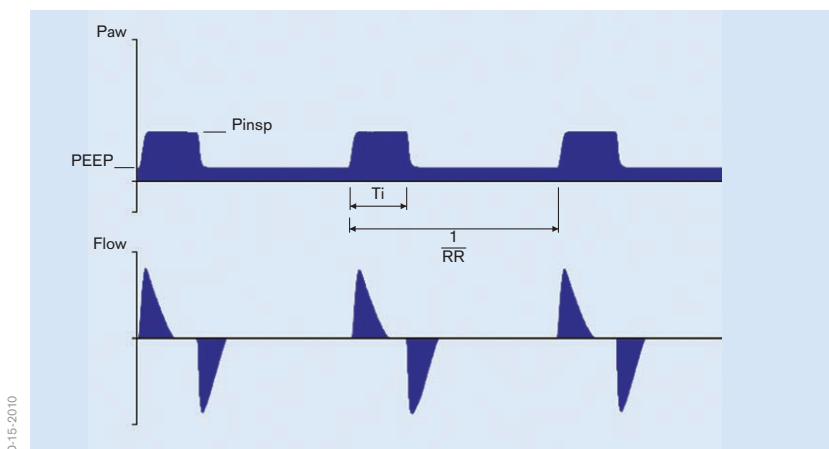


Figure 16: Pressure-controlled ventilation

### **VOLUME GUARANTEE**

Volume guarantee is an extended ventilation configuration for pressure-controlled ventilation modes such as PC-SIMV, PC-AC, PC-CMV and PC-PSV (Figure 17). Volume guarantee ensures that for all mandatory breaths the set tidal volume ( $V_T$ ) is applied with the necessary minimum pressure. If the Resistance ( $R$ ) or Compliance ( $C$ ) changes, the pressure adapts gradually in order to administer the set tidal volume ( $V_T$ ).

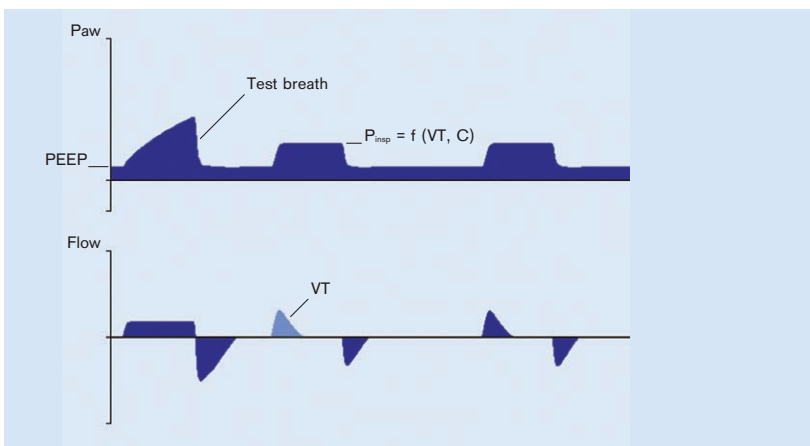
Spontaneous breathing is possible during the whole breathing cycle.



Decelerating flow curve

Free breathing ability during the complete breathing cycle

Guaranteed tidal volume



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Abb. 17: Volume guarantee



### PC-CMV

#### (PRESSURE CONTROL - CONTINUOUS MANDATORY VENTILATION)

- pressure-controlled
- machine-triggered
- time cycled
- permitted spontaneous breathing during the whole breathing cycle (Figure 19)

The tidal volume supplied to the patient depends on the pressure difference between PEEP and  $P_{\text{insp}}$ , the lung mechanics and the breathing effort of the patient.

The number of mandatory breaths is defined by the breathing frequency (RR).

The mandatory breaths are machine-triggered and not triggered by the patient.

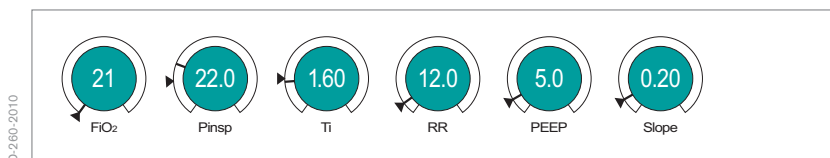


Figure 18: Possible ventilation settings

!	💡
Set alarm limit $VT_{high}$ patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit $V_{low}$ patient-specific <	Volume guarantee can be enabled
Set the alarm limit $MV_{high}$ patient-specific >	
Set the alarm limit $MV_{low}$ patient-specific <	

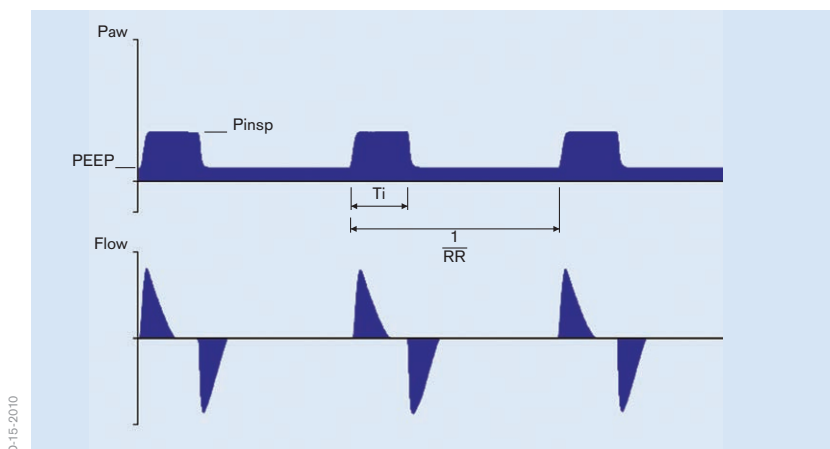


Figure 19: PC-CMV

**PC-AC****(PRESSURE CONTROL - ASSIST CONTROL)**

- pressure-controlled
- time cycled
- machine- or patient-triggered
- backup frequency
- permitted spontaneous breathing during the whole breathing cycle (Figure 21)

In PC-AC, every detected breathing attempt at PEEP level triggers a mandatory breath. The patient thus determines the number of additional mandatory breaths. In order to give the patient sufficient time for expiration, it is not possible to trigger another mandatory breath immediately after a completed breath.

If after the completion of the expiratory time no mandatory breath has been triggered, a mandatory breath is automatically applied (backup frequency). The adjuster for the Respiratory Rate (RR) therefore defines the minimum ventilation frequency.

The tidal volume (VT) results from the pressure difference between PEEP and  $P_{\text{insp}}$ , the lung mechanics and the breathing effort of the patient.

If the Resistance (R) or Compliance (C) of the lung changes during the ventilation treatment, the supplied tidal volume (VT) also varies.

Because the number of mandatory breaths also depends both on the patient and the set frequency (RR), the minute volume (MV) can vary.

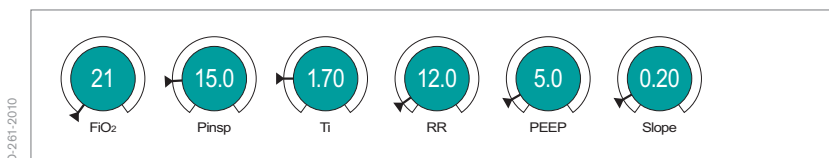


Figure 20: Possible ventilation settings

!	💡
Set alarm limit VT <sub>high</sub> patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit VT <sub>low</sub> patient-specific <	The trigger sensitivity can be set
Set the alarm limit RR <sub>high</sub> patient-specific >	Volume guarantee can be enabled
Set alarm limit MV <sub>high</sub> patient-specific >	
Set alarm limit MV <sub>low</sub> patient-specific <	

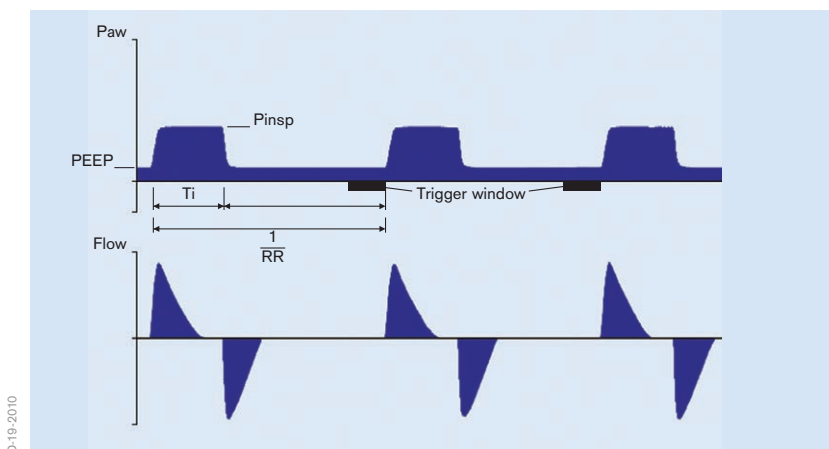


Figure 21: PC-AC



### **PC-SIMV (PRESSURE CONTROL - SYNCHRONIZED INTERMITTENT MANDATORY VENTILATION)**

- pressure-controlled
- time cycled
- machine- or patient-triggered
- permitting spontaneous breathing during the whole breathing cycle (Figure 23)

In PC-SIMV the patient can breathe spontaneously at any time, but the number of mandatory breaths is specified.

The mandatory breaths are synchronized with the patient's own breathing attempts. A patient-triggered mandatory breath can only be triggered within a trigger window. If the expiration phase and with it the spontaneous breathing time is shortened on account of synchronization, the next expiration phase will be extended. This adaptation prevents a change in the number of mandatory breaths (RR).

If no independent breathing attempt is detected during the trigger window, the machine-triggered mandatory breath are applied.

The mandatory tidal volume (VT) results from the pressure difference between PEEP and  $P_{\text{insp}}$ , the lung mechanics and the breathing effort of the patient.

If the Resistance (R) or Compliance (C) of the lung changes during the ventilation treatment, the supplied tidal volume (VT) and thus the minute volume (MV) also vary.

In this ventilation mode, the patient can breathe spontaneously during the complete breathing cycle. During spontaneous breathing at PEEP level, the patient can be supported using PS.

D-262-2010

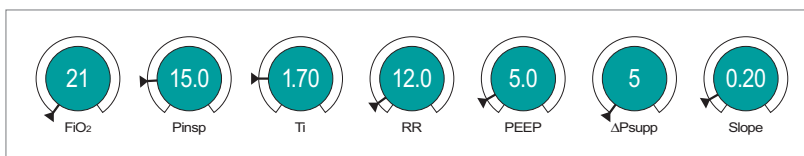


Figure 22: Possible ventilation settings

!	💡
Set alarm limit $V_{T_{high}}$ patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit $V_{low}$ patient-specific <	The trigger sensitivity can be set
Set the alarm limit $RR_{high}$ patient-specific >	Volume guarantee can be enabled
Set alarm limit $MV_{high}$ patient-specific >	
Set alarm limit $MV_{low}$ patient-specific <	

D-21-2010

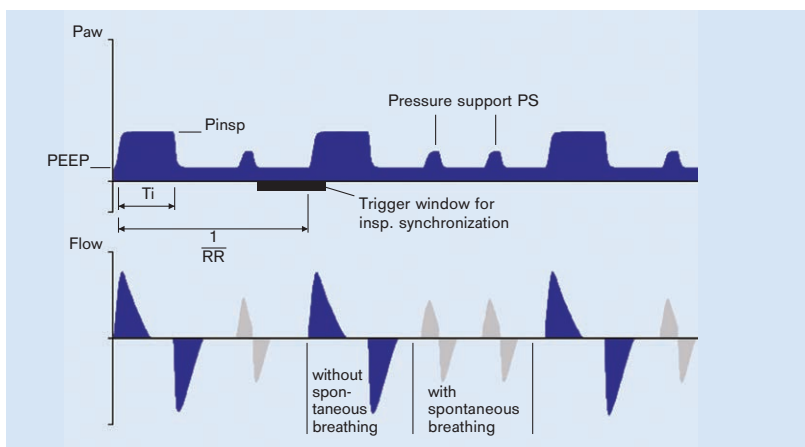


Figure 23: PC-SIMV



### PC-SIMV+ (PRESSURE CONTROL - SYNCHRONIZED INTERMITTENT MANDATORY VENTILATION PLUS)

- pressure-controlled
- time cycled
- machine- or patient-triggered
- inspiration and expiration synchronized
- permitted spontaneous breathing during the whole breathing cycle (Figure 25)

In the PC-SIMV+ mode, the patient can breathe spontaneously at any time, but the number of mandatory breaths is specified.

In this mode, the mandatory breaths are synchronized with the breathing attempts of the patient both for inspiration and expiration. If the mandatory breath is shortened on account of the synchronization with expiration, the next mandatory breath is extended. Synchronization with inspiration shortens the expiration phase. Here, the subsequent expiratory time is extended by the missing time period. This prevents an increase in the set mandatory breathing frequency (RR).

If no spontaneous breathing attempt is detected during the inspiratory trigger window, the machine-triggered mandatory breath are applied. The mandatory tidal volume (VT) results from the pressure difference between PEEP and  $P_{\text{insp}}$ , the lung mechanics and the breathing effort of the patient.

If the Resistance (R) or Compliance (C) of the lung changes during the ventilation treatment, the supplied tidal volume (VT) and thus the minute volume (MV) also vary.

In this ventilation mode, the patient can breathe spontaneously during the complete breathing cycle. During spontaneous breathing at PEEP level, the patient can be supported using PS.

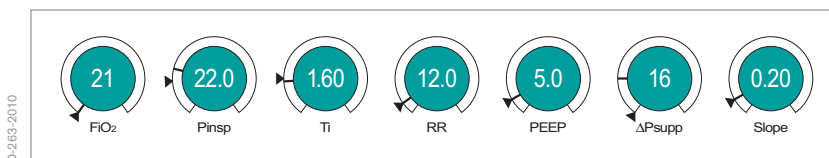


Figure 24: Possible ventilation settings

!	💡
Set alarm limit $VT_{high}$ patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit $V_{low}$ patient-specific <	The trigger sensitivity can be set
Set the alarm limit $RR_{high}$ patient-specific >	
Set alarm limit $MV_{high}$ patient-specific >	
Set alarm limit $MV_{low}$ patient-specific <	

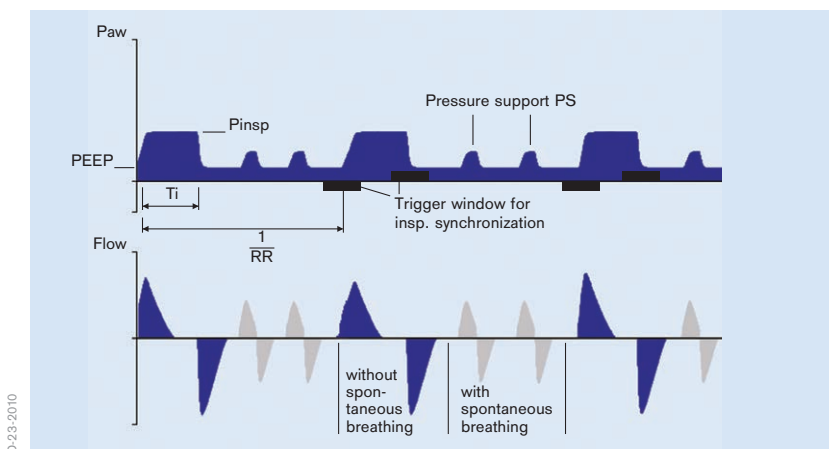


Figure 25: PC-BIPAP



### PC-APRV

#### (PRESSURE CONTROL-AIRWAY PRESSURE RELEASE VENTILATION)

- pressure-controlled
- time cycled
- machine-triggered
- spontaneous breathing under continuous positive breathing pressure with brief pressure relief times (Figure 27)

In PC-APRV, the patient's spontaneous breathing takes place at the upper pressure level  $P_{\text{high}}$ . This pressure level  $P_{\text{high}}$  is maintained for the duration of  $T_{\text{high}}$ . To execute an active expiration, the pressure is reduced for the brief period  $T_{\text{low}}$  to  $P_{\text{low}}$ . To support  $\text{CO}_2$  elimination, the pressure is reduced to  $P_{\text{low}}$  for the brief period  $T_{\text{low}}$ . The alternation between the two pressure levels is machine-triggered and time cycled.

The breathing volume (VT) expired during the relief times, results from the pressure difference between  $P_{\text{low}}$  and  $P_{\text{high}}$  and the lung mechanics. If the Resistance or Compliance of the lung changes during the ventilation treatment, the supplied tidal volume (VT) and thus the minute volume MV also vary.

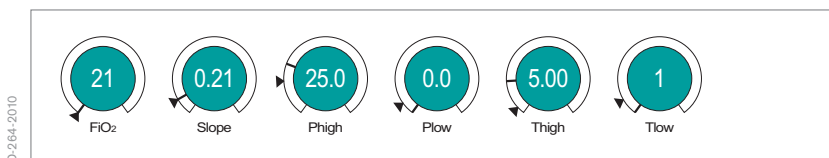


Figure 26: Possible ventilation settings

!	💡
Set alarm limit $VT_{high}$ patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit $V_{low}$ patient-specific <	
Set the alarm limit $RR_{high}$ patient-specific >	
Set alarm limit $MV_{high}$ patient-specific >	
Set alarm limit $MV_{low}$ patient-specific <	

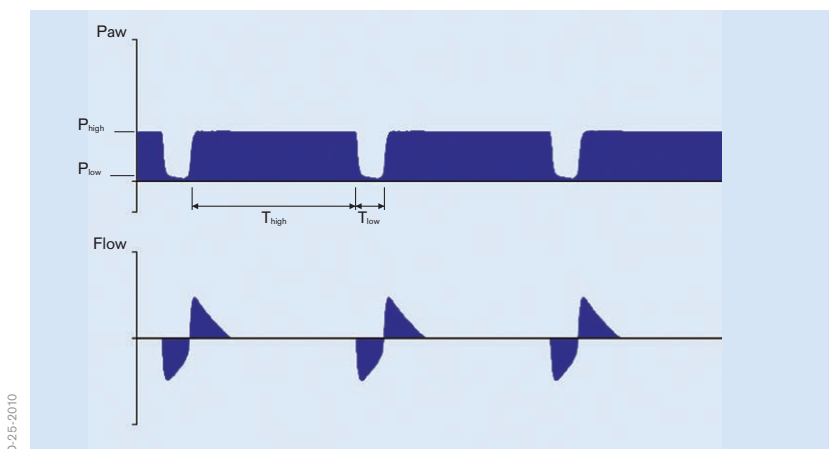


Figure 27: PC-APRV



## PC-PSV

### (PRESSURE CONTROL - PRESSURE SUPPORT VENTILATION)

- pressure-controlled
- machine- or patient-triggered
- flow-cycled
- pressure-supported
- with guaranteed minimum frequency (backup frequency) (Figure 29)

In PC-PSV, the patient can breathe spontaneously at PEEP level. Every detected inspiration effort can be pressure-supported. The absolute level of pressure support is defined by  $P_{insp}$ . The duration of inspiration is flow-cycled and thus depends on the lung mechanics of the patient. The patient determines the number, point of time and duration of the pressure-supported mandatory breaths.

If the breathing frequency of the patient is lower than the set backup frequency (RR) or there is no spontaneous breathing, machine-triggered flow-cycled mandatory breaths with the set pressure  $P_{insp}$  are applied.

The tidal volume (VT) results from the pressure difference between PEEP and  $P_{insp}$ , the lung mechanics and the breathing effort of the patient. If the Resistance (R) or Compliance (C) of the lung changes during the ventilation treatment, the supplied tidal volume (VT) and thus the minute volume (MV) also vary.

D-276-2010

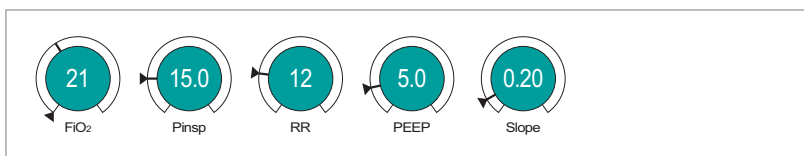


Figure 28: Possible ventilation settings

!	💡
Set the alarm limit RR <sub>high</sub> patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit MV <sub>high</sub> patient-specific >	The trigger sensitivity can be set

D-27-2010

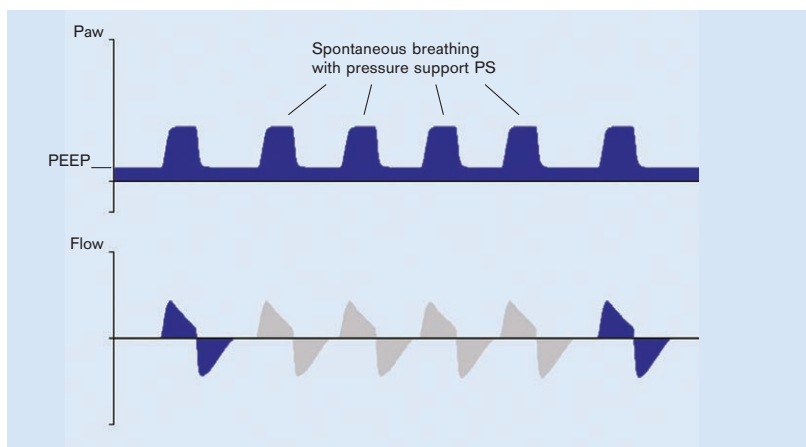


Figure 29: PC-PSV

## Spontaneous/assisted ventilation

### SPN Ventilation

During the spontaneous ventilation modes, the patient carries out the majority of the breathing effort. The pressure level PEEP (CPAP) at which spontaneous breathing takes place, can be adjusted. In all spontaneous ventilation modes, the spontaneous breaths can be supported mechanically.

To suit the respective lung mechanics, the speed of the pressure increase for PS (Pressure Support) and VS (Volume Support) can be defined using the slope or flow adjustment. Both adjustments, slope and flow, thus define the duration of the pressure increase from the lower to the higher pressure level. With the slope adjustment the time is set in seconds, with the flow adjustment the gas flow is set in liters per minute. This setting directly affects the flow and thus the supplied tidal volume (VT).

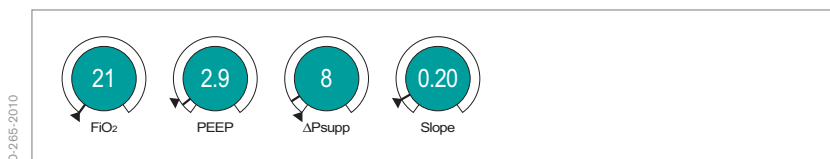


Figure 30: Possible ventilation settings

### Spontaneous breathing modes

SPN-CPAP/PS

SPN-CPAP/VS

SPN-PPS

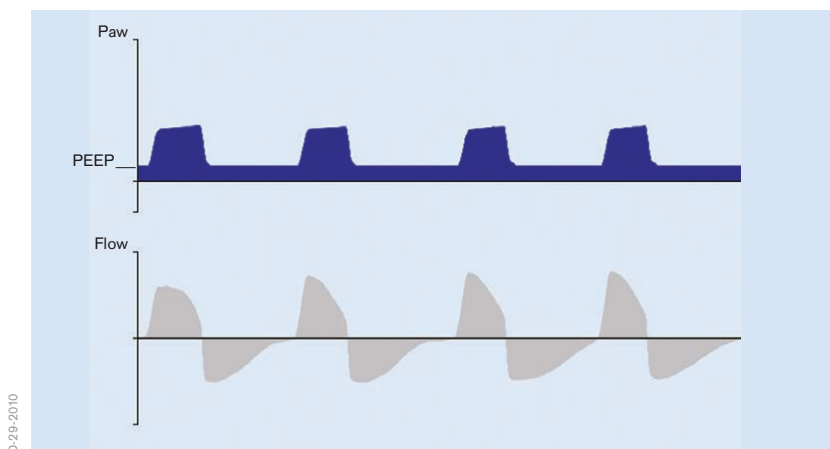


Figure 31: SPN-CPAP/PS



### **SPN-CPAP/PS**

#### **(SPONTANEOUS - CONTINUOUS POSITIVE AIRWAY PRESSURE/PRESSURE SUPPORT)**

- spontaneous breathing
- continuous positive pressure level with or without pressure support (Figure 33)

In SPN-CPAP/PS, the patient breathes at the PEEP level. Compared to the atmospheric pressure, the airway pressure is increased during the complete breathing cycle, i.e. during inspiration and expiration. If the patient is too weak to manage the complete breathing effort independently, there is the option of pressure support (PS).

Every detected inspiration attempt at PEEP level triggers a patient-triggered, flow-cycled, pressure-supported mandatory breath. The point of time, the number and the duration of the pressure-supported breaths are determined by the patient.

If the lung mechanics of the patient change, the applied volume varies with fixed (PS).

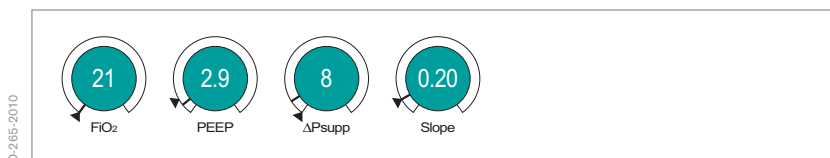


Figure 32: Possible ventilation settings

!	💡
Set the alarm limit RR <sub>high</sub> patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit MV <sub>high</sub> patient-specific >	The trigger sensitivity can be set
Set the alarm limit MV <sub>low</sub> patient-specific <	

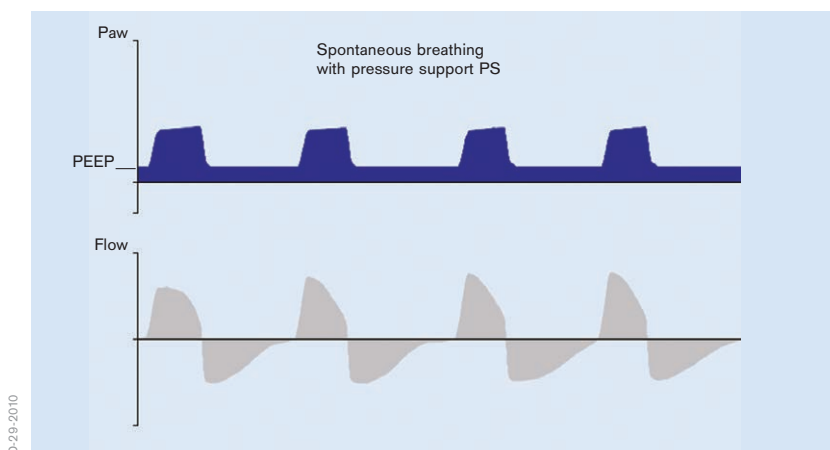


Figure 33: SPN-CPAP/PS

## VARIABLE PS

### VARIABLE PRESSURE SUPPORT

– automatic variation of the pressure support (PS) in SPN-CPAP/PS (Figure 35).

Variable PS is a form of pressure support in SPN-CPAP/PS. The basic principle for pressure supported spontaneous breathing remains fully intact and is not modified.

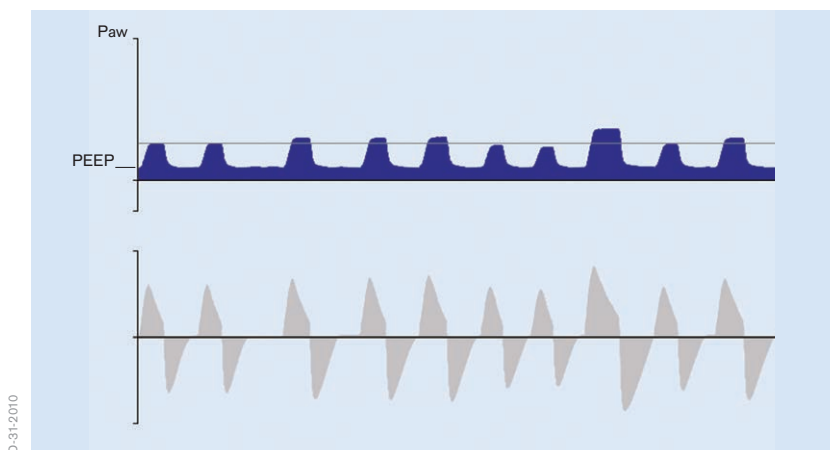
When activating variable PS different pressure support levels are applied for each breath. First the pressure support  $\Delta P_{\text{supp}}$  is set.

The variation of the support pressure is around the defined mean support pressure  $P_{\text{supp}}$  ( $P_{\text{supp}} = \text{PEEP} + \Delta P_{\text{supp}}$ ). The pressure vary in the range of  $P_{\text{supp}} \pm \Delta P_{\text{supp}}$ .

The level of variation is defined by the adjuster Press. var.. The adjustment is made in percent of the set pressure support  $P_{\text{supp}}$ . The variation Press. var. can be modified within a range from 0 to 100%. Due to the variation of the pressure support different ventilation pressures and therefore breathing volumes result for each breath. The level of the ventilation pressure is independent of the patient's breathing effort.



Figure 34: Possible ventilation settings





### SPN-CPAP/VS

#### (SPONTANEOUS - CONTINUOUS POSITIVE AIRWAY PRESSURE/VOLUME SUPPORT)

- spontaneous breathing
- continuous positive pressure level
- with or without volume support (Figure 37)

In SPN-CPAP/VS, the patient breathes at the PEEP level. Compared to the atmospheric pressure, the airway pressure is increased during the complete breathing cycle, i.e. during inspiration and expiration. If the patient is still too weak to manage the complete breathing effort independently, a volume support can be added. Here a target breathing volume ( $V_T$ ) is set and the necessary pressure for it applied.

Every detected inspiration attempt at PEEP level triggers a pressure-supported, flow-cycled ventilation breath.

If the lung mechanics change, the applied pressure varies to keep the set ( $V_T$ ) constant. To prevent too high pressures it is absolutely necessary to set the alarm limit  $P_{high}$ .

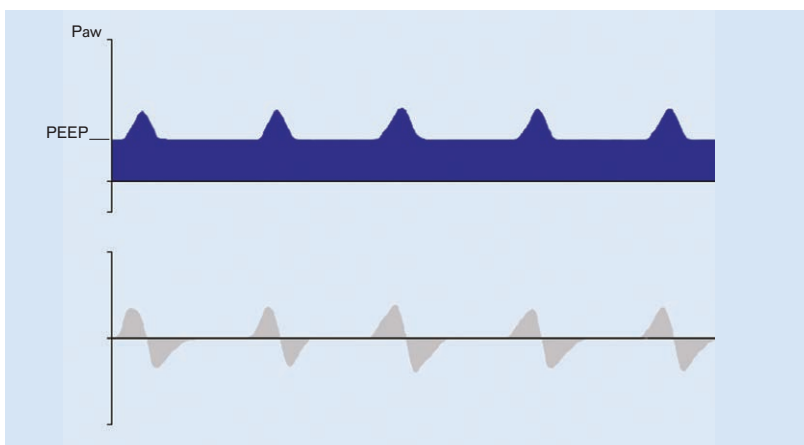
D-267-2010



Figure 36: Possible ventilation settings

!	💡
Set the alarm limit P <sub>high</sub> patient-specific >	Free breathing ability during the complete breathing cycle
Set the alarm limit RR <sub>high</sub> patient-specific >	The trigger sensitivity can be set

D-33-2010





## SPN-PPS

### SPONTANEOUS - PROPORTIONAL PRESSURE SUPPORT

- spontaneous breathing
- flow- and volume- proportional pressure support (Figure 39)

In SPN-PPS, a patient-triggered flow-cycled pressure support is applied proportionally to the inspiration effort of the patient, i.e. a low breathing effort is only supported mildly, whereas a stronger breathing effort triggers a larger support. The absolute level of the support depends both on the configuration of the parameters *Flow Assist* and *Volume Assist* and the patient.

The two types of pressure support, volume-proportional pressure support (volume assist) and flow-proportional pressure support (flow assist) can be used in combination. With volume assist, the elastic resistance (C) can be compensated, whereas flow assist helps overcoming the airway resistance (R).

The relationship between inspiration effort and pressure support remains constant with constant settings, whereas the pressure support varies within a breathing cycle.

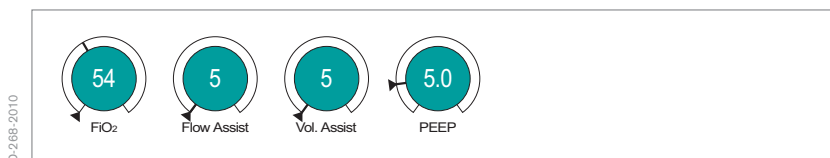


Figure 38: Possible ventilation settings

<p>!</p> <p>Set alarm limit <math>VT_{high}</math> patient-specific &gt;</p>	<p>💡</p> <p>The trigger sensitivity can be set</p>
--	--

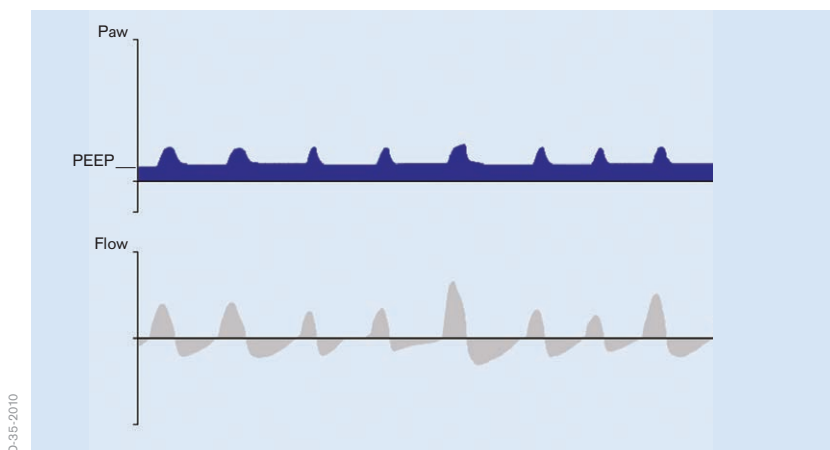


Figure 39: SPN-PPS

## Specific neonatal ventilation modes

Since the ventilation treatment for neonatals differs in parts from that for adults, specific modes are available for neonatal patients. The following modes can be used in particular for neonatal patients.

### **Specific neonatal ventilation modes**

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SPN-CPAP (only in non-invasive ventilation, NIV)

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PC-MMV

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D-36-2010

**SPN-CPAP****(SPONTANEOUS - CONTINUOUS POSITIVE AIRWAY PRESSURE)**

- spontaneous breathing at PEEP level
- manually triggered, time-cycled, pressure-controlled breaths (Figure 41)

In SPN-CPAP, the patient breathes at the CPAP level. Compared to the atmospheric pressure, the airway pressure is increased during the complete breathing cycle, i.e. during inspiration and expiration.

The user can apply mandatory breaths by pressing a button. The constant pressure level of these mandatory breaths is set with  $P_{manInsp}$ .  $T_{manInsp}$  defines the duration of the mandatory breaths.

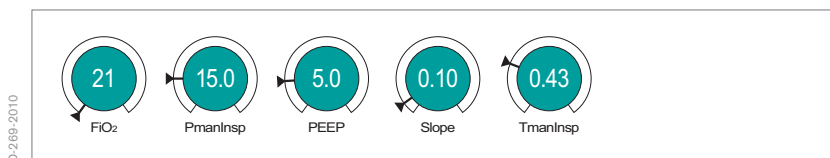


Figure 40: Possible ventilation settings



This mode is only available for non-invasive ventilation (NIV)

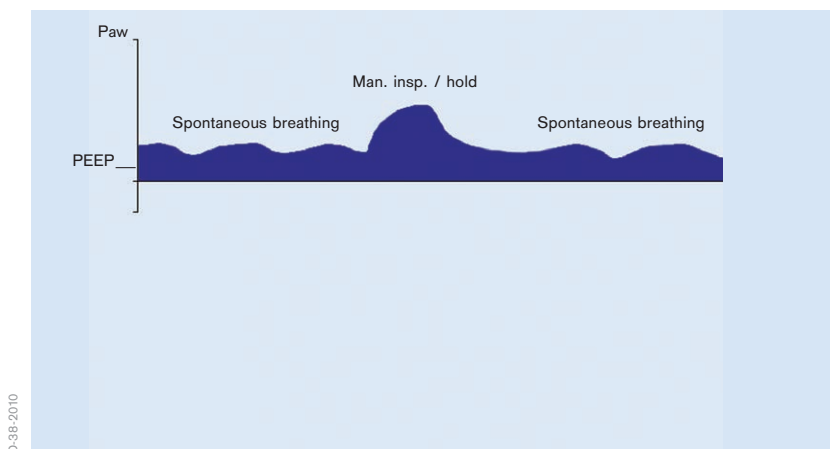


Figure 41: SPN-CPAP

**PC-MMV****PRESSURE CONTROL - MANDATORY MINUTE VOLUME)**

- volume-guaranteed
- time cycled
- machine- or patient-triggered
- safeguarding the mandatory minute volume with permitted spontaneous breathing on PEEP level
- volume guarantee is always enabled (Figure 43)

PC-MMV guarantees that the patient always receives at least the set minute volume  $MV$  ( $MV=VT*RR$ ).

The patient can always breathe spontaneously at PEEP level. If the spontaneous breathing of the patient is insufficient to achieve the set  $MV$ , machine-triggered time cycled mandatory breaths are applied. These mandatory breaths are synchronized with the patient's own breathing attempts.

The set breathing frequency ( $RR$ ) is therefore the maximum number of mandatory breaths.

During spontaneous breathing at PEEP level, the patient can be pressure-supported using PS.

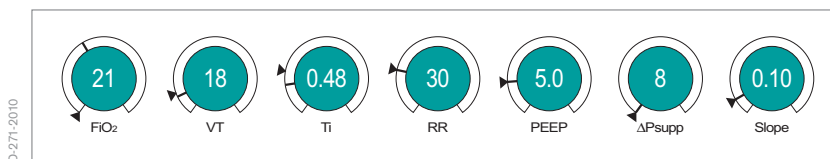


Figure 42: Possible ventilation settings

!	💡
Set alarm limit RR <sub>spont</sub> patient-specific >	The trigger sensitivity can be set The volume guarantee is always enabled

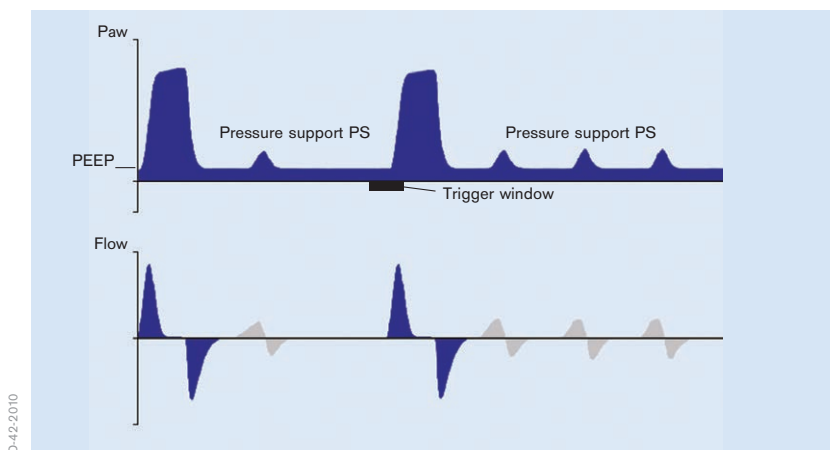


Figure 43: PC-MMV

## Extended ventilation settings

### **PRESSURE-SUPPORTED VENTILATION (PS) (PRESSURE SUPPORT)**

- pressure-supported
- patient-triggered
- flow-cycled mandatory breaths (Figure 44)

PS supports the spontaneous breathing effort of the patient. Every detected spontaneous inspiration attempt at PEEP level is supported with the pressure  $\Delta P_{\text{supp}}$ . PS can be enabled in some ventilation modes.

The patient determines the start and duration of the pressure support. The level of pressure support is constant and is defined by  $\Delta P_{\text{supp}}$ .

With PS, the speed of the pressure increase can also be defined via the slope or flow adjustment (in neonatal ventilation).

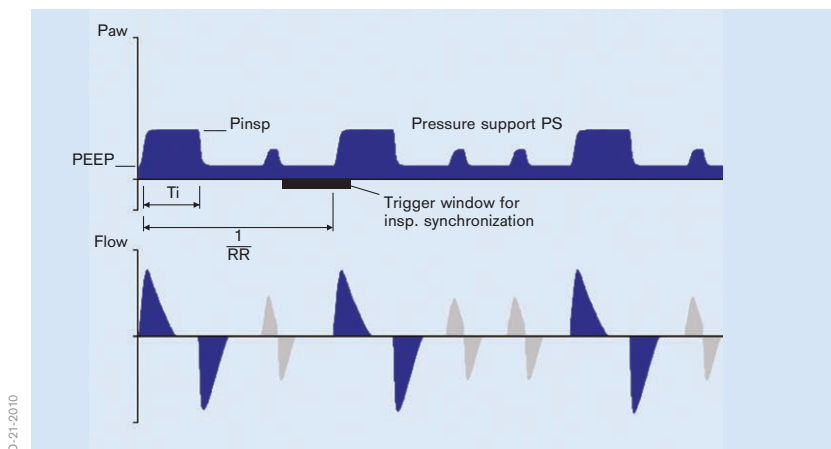


Figure 44: PC-SIMV with pressure support (PS)

## Nomenclature comparison

### VENTILATION IN INTENSIVE CARE FOR ADULTS

#### Volume-controlled ventilation modes

Previous nomenclature	IPPV/ CMV	IPPV <sub>assist</sub> / CM <sub>vassist</sub>	SIMV	MMV
New nomenclature	VC-CMV	VC-AC	VC-SIMV	VC-MMV

#### Pressure-controlled ventilation modes

Previous nomenclature		PCV <sub>assist</sub>		PCV+	APRV
New nomenclature	PC-CMV	PC-AC	PC-SIMV	PC-SIMV+	PC-APRV
PC-PSV					

#### Spontaneous/assisted ventilation modes

Previous nomenclature	CPAP/ASB/ CPAP/PS			PPS
New nomenclature	SPN-CPAP/PS	SPN-CPAP/VS		SPN-PPS

## VENTILATION IN INTENSIVE CARE FOR NEONATAL PATIENTS

### Pressure-controlled ventilation modes

Previous nomenclature	IPPV	SIPPV	SIMV		PSV	
New nomenclature	PC-CMV	PC-AC	PC-SIMV	PC-APRV	PC-PSV	PC-MMV

### Spontaneous/assisted ventilation modes

Previous nomenclature				CPAP
New nomenclature	SPN-CPAP/PS	SPN-CPAP/VS	SPN-PPS	SPN-CPAP

## VENTILATION IN ANESTHESIA

### Volume-controlled ventilation modes

Previous nomenclature	IPPV	SIMV
New nomenclature	Volume Control - CMV	Volume Control - SIMV

### Pressure-controlled ventilation modes

Previous nomenclature	PCV	
New nomenclature	Pressure Control - CMV	Pressure Control – BIPAP

### Spontaneous/assisted ventilation modes

Previous nomenclature		Man. Spont.
New nomenclature	Pressure Support - CPAP	Man./Spon.

## Glossary

AF	AutoFlow
C	Compliance
E	Expiration
FiO <sub>2</sub>	Fraction of Inspired Oxygen
I	Inspiration
Compens.	Compensation
MV	Minute volume
NIV	Non-invasive ventilation
Paw	Airway Pressure
PC	Pressure control
PC-AC	Pressure Control - Assist Control
PC-APRV	Pressure Control - Airway Pressure Release Ventilation
PC-CMV	Pressure Control - Continuous Mandatory Ventilation
PC-SIMV	Pressure Control - Synchronized Intermittent Mandatory Ventilation
PC-SIMV+	Pressure Control - Synchronized Intermittent Mandatory Ventilation Plus
PC-MMV	Pressure Control - Mandatory Minute Volume
PC-PSV	Pressure Control - Pressure Support Ventilation
PEEP	Positive End Expiratory Pressure
P <sub>high</sub>	Upper pressure level
P <sub>insp</sub>	Inspiratory pressure
PS	Pressure Support
P <sub>low</sub>	Lower pressure level
R	Resistance
RR	Respiratory Rate

SPN	Spontaneous
SPN-CPAP/PS	Spontaneous - Continuous Positive Airway Pressure/ Pressure Support
SPN-CPAP/VS	Spontaneous - Continuous Positive Airway Pressure/ Volume support
SPN-PPS	Spontaneous - Proportional Pressure Support
$T_e$	Expiratory time
$T_{high}$	Time span during which the upper pressure level is maintained
$T_i$	Inspiratory time
$T_{low}$	Time span during which the lower pressure level is maintained
Variable PS	Variable Pressure Support
VC	Volume control
VC-AC	Volume Control - Assist Control
VC-CMV	Volume Control - Continuous Mandatory Ventilation
VC-MMV	Volume Control - Mandatory Minute Volume
VC-SIMV	Pressure Control - Synchronized Intermittent Mandatory Ventilation
VG	Volume guarantee
VT	Tidal volume
$\Delta P_{supp}$	Adjustable pressure support

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- [1] McPherson SP; Respiratory therapy equipment. Saint Louis: C.V. Mosby Company, 1977
- [2] Downs JB, Klein EF Jr, Desautels D, Modell JH, Kirby RR; Intermittent mandatory ventilation: a new approach to weaning patients from mechanical ventilators. *Chest*. 1973 Sep;64(3):331-335
- [3] Cairo JM, Pilbeam SP. Mosby's respiratory care equipment. 7<sup>th</sup> edition. St. Louis. Mosby, Inc., 2004



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